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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Kovach, et al.Application No./Patent No.: 10/631,311Filed/Issue Date: 07/31/03Entitled: **CONTROL FOR OPERATING FEATURES OF A MODEL VEHICLE**Lionel, L.L.C.

(Name of Assignee)

, a Limited Liability Company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2-8-04  
Date  
586 949 4100  
Telephone number

Scott Turkington  
Typed or printed name  
  
Signature  
Chief Financial Officer  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## RECORDATION FORM COVER SHEET

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## PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Louis Kovach  
John Ricks  
James Rohde  
Neil Young

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: 1/9/04, 2/3/04, 1/29/04

## 2. Name and address of receiving party(ies):

Name: Lionel L.L.C.

Internal Address: \_\_\_\_\_

Street Address: 50625 Richard W. Blvd.City: Chesterfield State: MI ZIP: 48051Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/631,311

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey L. DoyleInternal Address: Dykema Gossett PLLCStreet Address: Suite 30039577 Woodward AvenueCity: Bloomfield Hills State: MI ZIP: 48304

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

04-2223

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jeffrey L. Doyle

Name of Person Signing

Signature

02/10/04

Date

Total number of pages including cover sheet, attachments, and document: 7

**ASSIGNMENT  
(Joint Inventors)**

**COPY**

WHEREAS we, Louis Kovach, John Ricks, James Rohde, and Neil Young, (hereinafter referred to as Assignors), residing respectively at 43774 Bemis, Belleville, Michigan 48111, 1873 Ford Blvd., Lincoln Park, Michigan 48146, 816 Manzano, Walled Lake, Michigan 48390, and 3240 Bear Gulch Road, Redwood City, California, 94062 have invented new and useful improvements in:

**CONTROL FOR OPERATING FEATURES OF A MODEL TRAIN**

which is set forth in United States Patent Application Serial No. 10/631,311 filed on July 31, 2003, which is a Continuation-in-Part of U.S. Application Serial No. 10/617,003 which, in turn, claims the benefit of U.S. Provisional Application Serial No. 60/394,550 filed on July 10, 2002.;

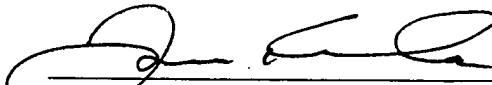
AND WHEREAS, Lionel L.L.C. (hereinafter referred to as Assignee), of 50625 Richard W. Blvd., Chesterfield, MI 48051, is desirous of acquiring the entire right, title, and interest in and to said invention and said application for Letters Patent of the United States, and in and to Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, be it known by all whom it may concern, that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, the Assignors have assigned, sold and set over, and by these presents assign, sell and set over unto the Assignee, its successors, legal representatives and assigns, for the territory of the United States of America and all foreign countries, the entire right, title and interest in and to said invention, said application for Letters Patent, including the right to file foreign patent applications corresponding to said application, and the right to claim the date of said application for Letters Patent and any legal equivalents thereof, and any and all Letters Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents prepared and executed by Assignors on even date herewith, the same to be held and enjoyed by the Assignee, as fully and entirely as the same would have been held by the Assignors had this Assignment and sale not been made.

AND the Assignors hereby covenant and agree that the Assignors will at any time upon the request and at the expense of the Assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and the Assignors hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Assignee in accordance with this Agreement.

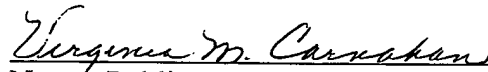
Atty. Dkt. No.: 69,010-319  
Serial No. 10/631,311

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
9<sup>th</sup> day of JANUARY, 2004.

  
\_\_\_\_\_  
Louis Kovach

STATE OF MICHIGAN )  
 )  
COUNTY OF WAYNE )

Before me personally appeared said LOUIS KOVACH and  
acknowledged the foregoing instrument to be his own free act and deed, the 9<sup>th</sup> of  
JANUARY, 2004.

  
\_\_\_\_\_  
Notary Public

SEAL


VIRGINIA M. CARNAHAN  
Notary Public, Wayne County, MI  
My Commission Expires May 24, 2004

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
9<sup>th</sup> day of JANUARY, 2004.

  
\_\_\_\_\_  
John Ricks

STATE OF MICHIGAN )  
 )  
COUNTY OF WAYNE )

Before me personally appeared said JOHN RICKS and  
acknowledged the foregoing instrument to be his own free act and deed, the 9<sup>th</sup> of  
JANUARY, 2004.

  
\_\_\_\_\_  
Notary Public

SEAL

VIRGINIA M. CARNAHAN  
Notary Public, Wayne County, MI  
My Commission Expires May 24, 2004

Atty. Dkt. No.: 69,010-319  
Serial No. 10/631,311

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
3 day of FEB, 2004.

James Rohde  
James Rohde

STATE OF Michigan )  
COUNTY OF Macomb )

Before me personally appeared said James Rohde and  
acknowledged the foregoing instrument to be his own free act and deed, the 3rd of  
February, 2004.

Marilyn R. Kryscynski  
Notary Public

SEAL

MARILYN R. KRYSZYNSKI  
NOTARY PUBLIC MACOMB CO., MI  
MY COMMISSION EXPIRES Jun 26, 2007

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
\_\_\_\_ day of \_\_\_\_\_, 2004.

\_\_\_\_\_  
Neil Young

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ )

Before me personally appeared said \_\_\_\_\_ and  
acknowledged the foregoing instrument to be his own free act and deed, the \_\_\_\_\_ of  
\_\_\_\_\_, 2004.

\_\_\_\_\_  
Notary Public

SEAL

Please address all correspondence and telephone calls, and upon recordation  
Please return this document to:

DYKEMA GOSSETT PLLC  
39577 Woodward Avenue, Suite 300  
Bloomfield Hills, MI 48304  
(248) 203-0700

Atty. Dkt. No.: 69,010-319  
Serial No. 10/631,311

IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this \_\_\_\_ day of \_\_\_\_\_, 2004.

James Rohde

STATE OF )  
 )  
COUNTY OF )

Before me personally appeared said \_\_\_\_\_ and  
acknowledged the foregoing instrument to be his own free act and deed, the \_\_\_\_\_ of  
\_\_\_\_\_, 2004.

Notary Public

SEAL

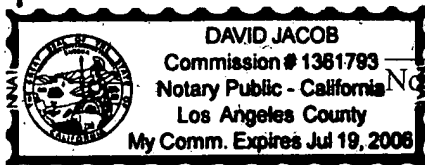
IN TESTIMONY WHEREOF, I hereunto set my hand and affix my seal on this  
29 day of January, 2004.

Neil Young

STATE OF )  
COUNTY OF )

Before me personally appeared said Neil Jang and  
acknowledged the foregoing instrument to be his own free act and deed, the 29 of  
January, 2004.

SEAL



Notary Public

**Please address all correspondence and telephone calls, and upon recordation  
Please return this document to:**

**DYKEMA GOSSETT PLLC**  
**39577 Woodward Avenue, Suite 300**  
**Bloomfield Hills, MI 48304**  
**(248) 203-0700**